## **Employee Post-Travel Disclosure of Travel Expenses**

Date/Time Stamp:

RECEIVED SECRETARY OF THE SENATE

PIJELIC RECORDS Post-Travel Filing Instructions: Complete this form within 30 days of returning from travel. Submit all forms to the Office of Public Records in 232 Hart Building.

2019 OCT - 1 PM 5: 00

In compliance with Rule 35.2(a) and (c), I make the following disclosures with respect to travel expenses the be reimbursed/paid for me. I also certify that I have attached:  The original Employee Pre-Travel Authorization (Form RE-1), AND A copy of the Private Sponsor Travel Certification Form with all attachments (itinerary, invitee list, etc.)  The Software Foundation dba Software.org: the BSA Foundation ("Software.org" Private Sponsor(s) (list all):	
A copy of the Private Sponsor Travel Certification Form with all attachments (itinerary, invitee list, etc.)  The Software Foundation dba Software.org: the BSA Foundation ("Software.org" Private Sponsor(s) (list all):	
Private Sponsor(s) (list all):	41.7
Wednesday, August 21, 2019 (day trip) Travel date(s):	
Name of accompanying family member (if any):	
Relationship to Traveler:   Spouse Child	
IF THE COST OF LODGING DID NOT INCREASE DUE TO THE ACCOMPANYING SPOUSE OR DEPENDENT INCLUDE LODGING COSTS IN EMPLOYEE EXPENSES. (Attach additional pages if necessary.)  Expenses for Employee:	T CHILD, ONLY
Transportation Lodging Expenses Meal Expenses Other Expenses Expenses (Amount & Des	
□ Good Faith \$334.24 \$11.50	
Estimate	
🖾 Actual Amount	
Expenses for Accompanying Spouse or Dependent Child (if applicable):	
Transportation Lodging Expenses Meal Expenses Other Expenses (Amount & Des	
☐ Good Faith Estimate	
□ Actual Amount	
Provide a description of all meetings and events attended. See Senate Rule 35.2(c)(6). (Attach additional panecessary.): Visited Massachusetts Institute of Technology's Computer Science and Artificial Intelligence Laboratory do of Wednesday, Aug 21 to learn about future developments in artificial intelligence and implications for privacy and cybe	uning the day
5 9/83 /10 Anout Rout Anout Rout	
(Date) (Printed name of traveler) (Signature of traveler)	veler)
TO BE COMPLETED BY SUPERVISING MEMBER/OFFICER:	

I have made a determination that the expenses set out above in connections with travel described in the Employee Pre-Travel Authorization form, are necessary transportation, lodging, and related expenses as defined in Rule 35.

(Signature of Supervising Senator/Officer)

(Revised 1/3/11)

## PRIVATE SPONSOR TRAVEL CERTIFICATION FORM

This form must be completed by any private entity offering to provide travel or reimbursement for travel to Senate Members, officers, or employees (Senate Rule 35, clause 2). Each sponsor of a fact-finding trip must sign the completed form. The trip sponsor(s) must provide a copy of the completed form to each invited Senate traveler, who will then forward it to the Ethics Committee with any other required materials. The trip sponsor(s) should NOT submit the form directly to the Ethics Committee. Please consult the accompanying instructions for more detailed definitions and other key information.

The Senate Member, officer, or employee MUST also provide a copy of this form, along with the appropriate travel authorization and reimbursement form, to the Office of Public Records (OPR), Room 232 of the Hart Building, within thirty (30) days after the travel is completed.

1.	Sponsor(s) of the trip (please list all sponsors): The Software Education Foundation d.b.a.
	Software.org: the BSA Foundation ("Software.org")
2.	Description of the trip:  An educational visit to software companies to provide a better understanding
	of the software industry's approach to artificial intelligence.
3.	Dates of travel: Wednesday, August 21, 2019
4.	Place of travel: Boston, Massachusetts
5.	Name and title of Senate invitees: See Attachment 1
6.	I certify that the trip fits one of the following categories:
	(A) The sponsor(s) are not registered lobbyists or agents of a foreign principal <u>and</u> do not retain or employ registered lobbyists or agents of a foreign principal <u>and</u> no lobbyist or agents of a foreign principal will accompany the Member, officer, or employee at any point throughout the trip.
	(B) The sponsor or sponsors are not registered lobbyists or agents of a foreign principal, but retain or employ one or more registered lobbyists or agents of a foreign principal and the trip meets the requirements of Senate Rule 35.2(a)(2)(A)(i) or (ii) (see question 9).
7.	I certify that the trip will not be financed in any part by a registered lobbyist or agent of a foreign principal.
	I certify that the sponsor or sponsors will not accept funds or in-kind contributions earmarked directly or indirectly for the purpose of financing this specific trip from a registered lobbyist or agent of a foreign principal or from a private entity that retains or employs one or more registered lobbyists or agents of a foreign principal.
8.	I certify that:  The trip will not in any part be planned, organized, requested, or arranged by a registered lobbyist or
	agent of a foreign principal except for de minimis lobbyist involvement.
	The traveler will not be accompanied on the trip by a registered lobbyist or agent of a foreign principal except as provided for by Committee regulations relating to lobbyist accompaniment (see question 9).

	USE ONLY IF YOU CHECKED QUESTION 6(B)  I certify that if the sponsor or sponsors retain or employ one or more registered lobbyists or agents of a foreign principal, one of the following scenarios applies:
	(A) The trip is for attendance or participation in a one-day event (exclusive of travel time and one overnight stay) and no registered lobbyists or agents of a foreign principal will accompany the Member officer, or employee on any segment of the trip.  -OR-
	<ul> <li>(B) The trip is for attendance or participation in a one-day event (exclusive of travel time and two overnight stays) and no registered lobbyists or agents of a foreign principal will accompany the Member, officer, or employee on any segment of the trip (see questions 6 and 10).         <ul> <li>−OR −</li> </ul> </li> </ul>
	(C) The trip is being sponsored only by an organization or organizations designated under § 501(c)(3) of the Internal Revenue Code of 1986 and no registered lobbyists or agents of a foreign principal will accompany the Member, officer, or employee at any point throughout the trip.
•	USE ONLY IF YOU CHECKED QUESTION 9(B)  If the trip includes two overnight stays, please explain why the second night is practically required for Senate invitees to participate in the travel:
	• • • • • • • • • • • • • • • • • • •
	An itinerary for the trip is attached to this form. I certify that the attached itinerary is a detailed (hourby-hour), complete, and final itinerary for the trip.
	An itinerary for the trip is attached to this form. I certify that the attached itinerary is a detailed (hourby-hour), complete, and final itinerary for the trip.  Briefly describe the role of each sponsor in organizing and conducting the trip:
	by-hour), complete, and final itinerary for the trip.
	by-hour), complete, and final itinerary for the trip.  Briefly describe the role of each sponsor in organizing and conducting the trip:
	by-hour), complete, and final itinerary for the trip.  Briefly describe the role of each sponsor in organizing and conducting the trip:
	by-hour), complete, and final itinerary for the trip.  Briefly describe the role of each sponsor in organizing and conducting the trip:
	by-hour), complete, and final itinerary for the trip.  Briefly describe the role of each sponsor in organizing and conducting the trip:  Software.org is the sole sponsor and organizer for this trip.  Briefly describe the stated mission of each sponsor and how the purpose of the trip relates to that mission:
	by-hour), complete, and final itinerary for the trip.  Briefly describe the role of each sponsor in organizing and conducting the trip:  Software.org is the sole sponsor and organizer for this trip.  Briefly describe the stated mission of each sponsor and how the purpose of the trip relates to that mission:
	by-hour), complete, and final itinerary for the trip.  Briefly describe the role of each sponsor in organizing and conducting the trip:  Software org is the sole sponsor and organizer for this trip.  Briefly describe the stated mission of each sponsor and how the purpose of the trip relates to that mission:  See Attachment 2

Software.org educates policymakers, stakeholders, and the general public through meetings and briefing					
and by developing and publishing studies and papers that explain policy, new technologies, and other					
topics of the interest to the software industry.					
Total Expenses for Each Participant:					
	Transportation Expenses	Lodging • Expenses	Meal . Expenses	Other	
	\$332.00 (total)	None	\$18.00	None	
Good Faith estimate  Actual Amounts	\$267.00 (airfare); \$35.00 (coach bus); \$30 (taxi)				
participation or b) the congressional particip	ip involves an event that trip involves an event that ation: vents that are arranged s	nat is arranged or org	ganized <i>specifically</i> v	with regard to	
participation or b) the congressional particip	trip involves an event thation:	nat is arranged or org	ganized <i>specifically</i> v	with regard to	
participation or b) the congressional particip  B) The trip involves ex	trip involves an event thation:	nat is arranged or organically with rega	ganized <i>specifically</i> v	with regard to	
participation or b) the congressional particip  B) The trip involves even the congression for selecting the congression of the	trip involves an event that ation: vents that are arranged	nat is arranged or organized specifically with rega	ganized specifically value	participation.	
participation or b) the congressional particip B) The trip involves expenses and the second for selecting the Software organic choice B	trip involves an event that are arranged she location of the event	or trip	anized specifically vertex and to congressional produced specifically vertex and the congression pr	participation.	
participation or b) the congressional particip B) The trip involves expenses and the second for selecting the second chose B close proximity, allow	trip involves an event that are arranged she location of the event doston due to the number	or trip or software company or showcase the indu	anized specifically vertex and to congressional produced specifically vertex and the congression pr	participation.	
participation or b) the congressional particip B) The trip involves expenses and for selecting to the Software organity, allow the Name and location of	trip involves an event that ation: vents that are arranged should be location of the event should be shown to the number log Software.org to better	or trip or software company or showcase the indu	anized specifically vertex and to congressional produced specifically vertex and the congression pr	participation.	
participation or b) the congressional particip B) The trip involves er Reason for selecting to Software org chose B close proximity, allow Name and location of Mr. Raut will not requ	trip involves an event thation:  vents that are arranged she location of the event short of the number ing Software.org to better hotel or other lodging factors.	or trip or software companies showcase the industriality:	anized specifically vertex and to congressional produced specifically vertex and the congression pr	participation.	

21	Describe how the daily expenses for lodging, meals, and other expenses provided to trip participants compares to the maximum per diem rates for official Federal Government travel:				
	The daily expenses for lodging, meals, and other expenses provided to trip participants will be below				
	the daily per diem rates for Boston.				
22.	Describe the type and class of transportation being provided. Indicate whether coach, business-class or first class transportation will be provided. If first-class fare is being provided, please explain why first-class travel is necessary:				
	Attendees will fly on coach airfare round trip from Washington, DCDCA) to Boston BDS).				
	In Boston, Mr. Raut will be transported on a coach class minibus. We will arrange a taxi to the airport.				
23.	I represent that the travel expenses that will be paid for or reimbursed to Senate invitees do not include expenditures for recreational activities, alcohol, or entertainment (other than entertainment provided to all attendees as an integral part of the event, as permissible under Senate Rule 35).				
24.	List any entertainment that will be provided to, paid for, or reimbursed to Senate invitees and explain why the entertainment is an integral part of the event:  None				
25.	I hereby certify that the information contained herein is true, complete and correct. (For trips involving more than one sponsor, you must include a completed signature page for each additional sponsor):  Signature of Travel Sponsor:  Name and Title:  Chris Hopfenseperger, Executive Director				
	Name of Organization: The Software Education Foundation d.b.a. Software.org: the BSA Foundation				
	Address: 20 F Street, NW, Suite 800, Washington, DC 20001				
	Telephone Number: (202) 530-5135				
	Fax Number:				
	E-mail Address: chris@software.org				

# U.S. Senate Select Committee on Ethics Attachments to Private Sponsor Travel Certification Form

Attachment 1 - Question # 5 - Names, titles, and explanation for invitation for all Senate invitees

Software.org is inviting the congressional staff below because of their work on policy issues, including artificial intelligence, data policy, intellectual property rights, emerging technologies, and digital trade, that are of importance to the software industry.

Name	Title	Office
Geoffrey Antell	Policy Director	Office of Majority Whip John Thune
Jonathan Bowen	Information Technology Director	Senate Committee on Commerce, Science, and Transportation
John Branscome	Staff Director	Senate Committee on Commerce, Science, & Transportation, Subcommittee on Communications, Technology, Innovation, and the Internet
Chris Cook	Professional Staff Member	Senate Committee on Appropriations, Subcommittee on Department of Homeland Security
Patrick Day	Counsel	Senate Committee on the Judiciary
Rich DiZinno	Chief Counsel, National Security and Crime	Senate Committee on the Judiciary
Reema Dodin	Floor Director	Office of Minority Whip Richard Durbin
Drenan Dudley	Professional Staff Member	Senate Committee on Appropriations, Subcommittee on Department of Homeland Security
Brett Freedman	Counsel	Senate Select Committee on Intelligence
Fern Gibbons	Counsel	Senate Committee on Commerce, Science, & Transportation
Rory Heslington	International Trade Policy Advisor	Senate Committee on Finance
Michael Kuiken	National Security Advisor	Office of Minority Leader Charles Schumer
Dan Kunsman	Chief of Staff	Office of Senator John A. Barrasso (WY)
Jen Kuskowski	Policy Director	Office of Majority Leader Mitch McConnell
Virginia Lenahan	Professional Staff Member	Senate Committee on Finance
Christy Lewis	Digital Director	Senate Republican Conference
Stacy McBride	Chief of Staff	Office of Senator Roy Blunt (MO)
Sam Mulopulos	Legislative Assistant	Office of Senator Rob Portman (OH)
Greta Milligan Peisch	International Trade Counsel	Senate Committee on Finance
David Pendle	Chief Counsel	Senate Committee on the Judiciary
Subhasri Ramanathan	Senior Counsel, Technology and Innovation	Senate Committee on Homeland Security and Governmental Affairs
Anant Raut	Counsel	Senate Committee on the Judiciary
Arjun Ravindra	Professional Staff Member	Senate Select Committee on Intelligence
Arun Seraphin	Professional Staff Member	Senate Committee on Armed Services, Subcommittee on Readiness and Management Support
Chad Tanner	Professional Staff Member	Senate Select Committee on Intelligence
Satya Thallam	Chief Economist	Senate Committee on Homeland Security and Governmental Affairs
Olivia Trusty	Legislative Assistant	Senate Committee on Commerce, Science, & Transportation

Mike Vesellik	Policy Advisor	Senate Democratic Policy and Communications Center
Michael Waske	Policy Analyst	Senate Committee on Health, Education, Labor, and Pensions
Gwyneth Woolwine	Professional Staff Member	Senate Committee on Armed Services

## Attachment 2 - Question # 13 - Sponsor's interest and role

Software.org, the BSA Foundation is an independent, and nonpartisan international 501(c)(3) research organization that helps policymakers and the broader public better understand the impact that software has on our lives, our economy, and our society. We believe that by working together to examine these issues we can better prepare for the future and help shape government policies and industry culture that will promote both technological advancement and widespread growth.

Software.org seeks to take Congressional staff on an educational artificial intelligence-focused tour of global software companies at their Boston area facilities. We have invited staff whose Senators serve on the Homeland Security, Intelligence, Armed Services, Judiciary, and Commerce Committees, or are in leadership offices in order to educate them about the software industry's approach to artificial intelligence (AI). On the trip, staff will learn about current research projects and the next steps in the development of AI technologies, ethical and public policy concerns facing lawmakers, the impact of federal partnerships with software developers in the AI space, and more. Software.org planned and organized all aspects of the trip, made lodging and travel arrangements, and corresponded with businesses involved to set up events and tours at each location. Software.org is the sole sponsor for this trip, including responsibility for covering cost of meals throughout the trip.



## Senior Congressional Staff Delegation on Artificial Intelligence

### Wednesday, August 21, 2019

7:30 AM	Meet group in the terminal at Ronald Reagan Washington National Airport (DCA)
8:30 AM	American Airlines Flight #2169 Departs DCA to Boston Logan International Airport (BOS)
10:02 AM	AA2169 Arrives at BOS.
10:30 AM	Ground transportation from the airport to MIT.  Estimated travel time: 15-30 minutes
11:00 AM – 3:30 PM	Massachusetts Institute of Technology (MIT) – Computer Science and Artificial Intelligence Laboratory (CSAIL)
	At MIT CSAIL, attendees will hear directly from leading researchers and artificial intelligence experts on their latest AI-focused projects in machine learning, data analytics, and more. Participants will experience demonstrations of AI's potential to transform various industries and sectors of the economy including autonomous vehicles, computational biology, cybersecurity, and more applications.
3:30 PM	Ground transportation from MIT to BOS  Estimated travel time: 15-20 minutes
3:45 PM	Arrive at BOS, check in for flights and go through security.
5:00 PM	American Airlines Flight #2149 Departs BOS to DCA
6:48 PM	AA2149 Arrives at DCA. Individual transportation home.

###



# JOIN US

# Staff Delegation Trip to Boston August 21–23, 2019

I'm writing to invite you to join Software.org: the BSA Foundation on our upcoming Congressional Staff Delegation Trip to Boston Wednesday, August 21 – Friday, August 23.

## Agenda

The trip's meetings will focus on artificial intelligence discussions with company and university participants. The trip will begin with a morning flight to Boston on Wednesday and conclude with a return to Washington, D.C., on Friday afternoon.

#### Ethics/Costs

Software.org will cover all approved costs associated with this trip including airfare, hotel expenses, and group meals. This trip has been structured to comply with House and Senate ethics rules. For your convenience, Software.org will provide the necessary paperwork for your submission before the House and Senate Ethics deadlines.

Should you have any questions, or if you would like additional information, please contact Jake Morabito at <a href="mailto:iake@software.org">iake@software.org</a>.

Sincerely,

Chris Hopfensperger Executive Director, Software.org

### About Software.org

Software.org: the BSA Foundation is an independent and nonpartisan international research organization established to help people better understand the impact software has on our lives, our economy, and our society. Headquartered in Washington, DC, the foundation publishes studies that examine the intersection of software and society, and it engages with policymakers and the public to inform policies that can stay ahead of cutting-edge technologies. The foundation also works directly to empower the workforce of tomorrow by encouraging a diverse community of young coders and working to increase opportunities for training and skills. Follow Software.org at @BSA\_Foundation.

## **EMPLOYEE PRE-TRAVEL AUTHORIZATION**

<u>Pre-Travel Filing Instructions</u>: Complete and submit this form at least 30 days prior to the travel departure date to the <u>Select Committee on Ethics</u> in <u>SH-220</u>. Incomplete and late travel submissions will <u>not</u> be considered or approved. This form <u>must</u> be typed and is available as a fillable PDF on the Committee's website at ethics.senate.gov. Retain a copy of your entire pre-travel submission for your required post-travel disclosure.

(Revised 10/19/15)

ETHIC JUL31'19AH10:22

Form RE-1

Name of Traveler:	Anant Raut
Employing Office/Committee:	Feinstein/Senate Judiciary Committee
	ducation Foundation d.b.a. Software.org: the BSA Foundation
August 21, 2019 Travel date(s):	
Note: If you plan to extend the tri	ip for any reason you <u>must</u> notify the Committee.
Boston, MA Destination(s):	
Explain how this trip is specifically conne	ected to the traveler's official or representational duties:
Democrat offices on issues including antitru intelligence raises numerous legal concerns discrminatory advertising campaigns in viola	for the Senate Judiciary Committee. I advise the Ranking Member and other Senate ist, data privacy, data security, and algorithmic discrimination. The application of artificial within the Judiciary Committee's jurisdiction. Artificial intelligence has resulted in ation of civil rights laws related to housing and employment. Meeting with leading forward-thinking about legislation that needs to be developed in advance of technology.
Name of accompanying family member (i	if any):
Relationship to Employee:    Spouse	Child
I certify that the information contained in $7/30/19$	this form is true, complete and correct to the best of my knowledge:
(Date)	(Signature of Employee)
TO BE COMPLETED BY SUPERVISING Streetary for the Majority, Secretary for the Majority for the Majorit	ENATOR/OFFICER (President of the Senate, Secretary of the Senate, Sergeant at Arms finority, and Chaplain):
Senator Feinstein	- Anant Raut hereby authorize
(Print Senator's/Officer's Name)	(Print Traveler's Name)
related expenses for travel to the event des	to accept payment or reimbursement for necessary transportation, lodging, and scribed above. I have determined that this travel is in connection with his or her older, and will not create the appearance that he or she is using public office for
I have also determined that the attendance of the Senate. (signify "yes" by checking box	of the employee's spouse or child is appropriate to assist in the representation
7/30/19	(Signature of Supervising Senator/Officer)
(Date)	(Signature of Supervising Senator/Officer)